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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations		
Application Number:	09/455806		
Confirmation Number:	5592		
First Named Applicant:	John Beezer		
Attorney Docket Number:	003797.84617		
Art Unit:	2171		
Examiner:	H Thai		
Search string:	( 5680636 or 5727129 or 5838914 or 5893132 or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595 or 5940080 ).pn.		

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Technology Center 2100

**Certification:** This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

11/28/2003 GWD00F1 00000070 190733 09455806  
US Patent Documents 01 FC:1806 180.00 DA

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5680636	1997-10-21	Levine et al.			
	2	5727129	1998-03-10	Barrett et al.			
	3	5838914	1998-11-17	Carleton et al.			
	4	5893132	1999-04-06	Huffman et al.			
	5	6049812	2000-04-11	Bertram et al.			
	6	6195694	2001-02-27	Chen et al.			

7	6199082	2001-03-06	Ferrel et al.
8	6486895	2002-11-26	Robertson et al.
9	6549220	2003-04-15	Hsu et al.
10	RE34476	1993-12-14	Norwood
11	5471568	1995-11-28	Webb et al.
12	5719595	1998-02-17	Hoddie et al.
13	5940080	1999-08-17	Ruehle et al.

## Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application. It is respectfully requested that the Examiner fully consider the document, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference. The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

## Signature

Examiner Name	Date



#11  
01-2-04  
JW

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: 51337  
Application ID: 09455806   
Title of Invention: **RECEIVED**  
First Named Inventor: John Beezer DEC 01 2003  
Domestic/Foreign Application: Domestic Application Technology Center 2100  
Filing Date: 1999-12-07  
Effective Receipt Date: 2003-11-26  
Submission Type: Information Disclosure Statement  
Filing Type:  
Confirmation number: 5592  
Attorney Docket Number: 003797.84617  
  
Total Fees Authorized: 180.0  
Payment Category: Deposit Account  
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Deposit Account Name: Gary D Fedorochko  
RAM Payment Status: RAM has not been processed

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Certificate Message Digest: 8180478b6dc0fd55986b7909deffd31057f56b0e